

Annex A1 – Summary of Audits concluded in 2021/22 & 2022/23 and reported since last update

Department	Division	Activity	Level of Assurance	DoT	High	Medium	Low
Finance & Resources	HR & EDI	Sickness Management 2022-23	Limited Assurance	↔	3	6	0
	Information Technology	Hardware Asset Management 2021-22	Limited Assurance	↔	3	7	1
		Physical & Environmental Controls 2022-23	Limited Assurance	↔	9	2	1
	Legal & Governance	Councillor Declarations 2021-22	Moderate Assurance	-	0	1	0
		Data Management	Significant Assurance		0	3	0
	Finance	Bank Reconciliation 2021-22	Significant Assurance	↔	0	0	0
		Budgetary Control 2021-22	Limited Assurance	↓	2	1	0
		Capital Programme 2021-22	Limited Assurance	↓	9	16	5
		NCC Accounts Payable 2021-22	Moderate Assurance		0	1	0
		NCC Accounts Receivable 2021-22	Moderate Assurance		2	0	1
		Main Accounting 2021-22	Significant Assurance	↔	0	0	0
		Treasury Management 2021-22	Significant Assurance	↔	0	0	0
		Procurement Card Usage 2022-23	Limited Assurance	-	3	1	0

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Department	Division	Activity	Level of Assurance	DoT	High	Medium	Low
Finance & Resources	Finance	Integration & Better Care Fund - DFG 21-22	Grant claim	-			
		Contain Outbreak Management Fund Surge Funding 20-21	Grant claim	-			
		Green Homes Grant LA Delivery Phase 1B	Grant claim	-			
		Green Homes Grant LA Delivery Phase 2	Grant claim	-			
		LA Bus Subsidy Grant 2021-22	Grant claim	-			
		Local Transport Capital Grant 2021-22	Grant claim	-			
		Supporting Families	Grant claim (quarterly)	-			
	Universal Drug Treatment Grant 2021-22	Grant claim	-				
	Customer Services	Housing Benefits 2021-22	Limited Assurance	↓	6	1	0
Finance & Resources Total					37	39	8
Policy Performance & Communications	Analysis & Insight	Caldicott Governance 2022/23	Limited Assurance	-	6	2	0
Policy Performance & Communications Total					6	2	0
Growth & City Development	Economic Development & Property	Asset Rationalisation 2021-22	Significant Assurance	-	0	0	0
Growth & City Development Total					0	0	0
People	Adult Social Care & Health	ECINS - Follow-up 2021-22	Moderate Assurance	↑	0	2	0
People Total					0	2	0
Communities Environment & Resident Services	Resident Services	Fleet Management follow up	Significant Assurance		5	3	0
Communities Environment & Resident Services Total					5	3	0
NCC Total					48	46	8